



Form C – Declaration Form

- For individual agents, please answer question numbers 1 to 5 and 7 to 8, and complete page 5.
- For company registered agents and Trade Specific Agents (TSA), please answer question numbers 1 to 9 and complete page 6.
- For TSA who signs tripartite agreement with Principal Agents, please answer all questions and complete page 6.

(* Please delete as appropriate)

	Yes	No
1. Have you entered into an agency agreement or agreements with an Ordinary Member or Members of GIA, none of which has been terminated over the last 12 months?	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "No", please provide details below:		
2. Have you been convicted of the following?	Yes	No
a) An offence under the Insurance Act (Chapter 142) or any regulations made thereunder;	<input type="checkbox"/>	<input type="checkbox"/>
b) An offence under The Penal Code (Chapter 224);	<input type="checkbox"/>	<input type="checkbox"/>
c) An offence specified under the Third Schedule of the Registration of Criminals Act (Chapter 268);	<input type="checkbox"/>	<input type="checkbox"/>
d) An offence under the Corruption, Drug Trafficking and Other Serious Crimes (Confiscation of Benefits) Act (Chapter 65A);	<input type="checkbox"/>	<input type="checkbox"/>
e) An offence under any Act or regulations administered by the Monetary Authority of Singapore;	<input type="checkbox"/>	<input type="checkbox"/>
f) An offence involving fraud, misrepresentation or dishonesty;	<input type="checkbox"/>	<input type="checkbox"/>
g) An offence involving the financial enrichment of one party at the financial detriment of another party, or any other form of financial misconduct;	<input type="checkbox"/>	<input type="checkbox"/>
h) An offence under any statute which is a re-enactment Act, repealing Act or a consolidation Act in respect of any of the legislations referred to above.	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "Yes" to any of the above, please provide details below:		
3. Are you:-	Yes	No
a) the subject of criminal proceedings which are pending in Court or have been at any time convicted of a criminal offence, under any law in any jurisdiction?	<input type="checkbox"/>	<input type="checkbox"/>



b) the subject of a prohibition order or any order made by the Monetary Authority of Singapore?	<input type="checkbox"/>	<input type="checkbox"/>
c) involved with a corporation which has been censured, disciplined, suspended or refused membership or registration by the regulatory authority of any business or profession?	<input type="checkbox"/>	<input type="checkbox"/>
d) involved in any judgment (including the finding of fraud, misrepresentation or dishonesty) given against me/us in any civil proceedings in Singapore or elsewhere, or is a party to any pending proceedings that may lead to such a judgment?	<input type="checkbox"/>	<input type="checkbox"/>
e) a shareholder, partner, manager, employee or director of any business or company registered with the Accounting and Corporate Regulatory Authority of Singapore (ACRA) in respect of which:- i. the business/company has been censured or disciplined? ii. its business or business licence has been suspended or revoked by the Monetary Authority of Singapore or any Regulator?	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "Yes" to any of the above, please provide details below:		
4. Are you in compliance with and not in breach of any of the below provisions?	Yes	No
a) the General Insurance Agents' Registration Regulations;	<input type="checkbox"/>	<input type="checkbox"/>
b) the Code of Practice for Agents.	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "No" to any of the above, please provide more details below:		
5. Are you an undischarged bankrupt or a person in respect of whom a bankruptcy proceeding is pending in Court?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If you tick "Yes", please provide details below:		
6. Is your company the subject of:-	Yes	No
a) a winding up order?	<input type="checkbox"/>	<input type="checkbox"/>
b) a judicial management order?	<input type="checkbox"/>	<input type="checkbox"/>
c) a receiver has been appointed (whether by the Court or otherwise)?	<input type="checkbox"/>	<input type="checkbox"/>



d) an application or petition for winding up, or appointment of judicial manager or appointment of receiver has been filed in Court and is pending?	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "Yes" to any of the above, please provide details below:		
	Yes	No
7. a. Have you entered into a compromise or a scheme of arrangement with creditors, being a scheme of arrangement that is still in operation?	<input type="checkbox"/>	<input type="checkbox"/>
b. Do you have one or more outstanding judgment debts against you which you have been unable to satisfy within 7 days from the date of the judgment?	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "Yes" to any of the above, please provide details below:		
8. Have you (in the case of an agent) fulfilled the minimum number of hours of Continuous Professional Development (CPD) training and such other requirements as may be determined by GIA from time to time?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
If you tick "No", please provide details below:		
	Yes	No
9 a. Does your company (applicable to company or partnership business registered with ACRA) have a minimum paid-up capital more than S\$25,000.00?	<input type="checkbox"/>	<input type="checkbox"/>
b. Are your shareholders, partners, managers, employees or directors who act on your behalf or represent you in your business of general insurance agent are also registered with the Agents' Registration Board as Nominee Agents and that all the declarations stated herein are true and correct in respect of your Nominee Agents, mutatis mutandis?	<input type="checkbox"/>	<input type="checkbox"/>
If you tick "No" to any of the above, please provide details below:		



10. We hereby confirm that our Principal Agent	Yes	No
a) does not carry on business as an insurance broker.	<input type="checkbox"/>	<input type="checkbox"/>
b) is a sole-proprietor. We confirm that the Principal Agent has no interest (whether direct or indirect) in any company (whether as a shareholder or otherwise), partnership or other body corporate or incorporate carrying on business as an insurance broker.	<input type="checkbox"/>	<input type="checkbox"/>
c) is a company, partnership or limited liability partnership or a society or co-operative society. We confirm that none of the Principal Agent's partners (where the Principal Agent is a partnership or limited liability partnership but excluding any partner who is not involved in the day-to-day operations of the partnership), members (where the Principal Agent is a society or co-operative society), directors, officers, employees, representatives or agents carry on business as an insurance broker or is a director, officer, employee, representative or agent of an insurance broker. We confirm that none of the Principal Agent's members (where the Principal Agent is a society or co-operative society), directors, officers, employees, representatives or agents has any interest (whether direct or indirect) in any company (whether as a shareholder, debenture holder or otherwise), carrying on business as an insurance broker.	<input type="checkbox"/>	<input type="checkbox"/>
<p>If you tick "No" to 10a, please provide details below:</p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div>		

I/We further declare that:

- the information shown in this declaration form and any other attached documents are correct and complete.
- I/we (*) shall notify my/our (*) Principal/s in writing whenever there is any change in the name or address or particulars as registered in the Register or when I/we (*) cease to represent any Principal or when a Nominee Agent ceases to be employed by the agency.
- I/we (*) shall not enter into any agreement or arrangement whatsoever for the appointment or engagement of any sub-agent.
- I/we (*) shall immediately notify in writing the Agents' Registration Board of GIA and the Ordinary Members of GIA for whom I/we (*) represent as my/our (*) Principals in the event that any fact, event or matter arises or occurs after the making of this Declaration which renders any of the declarations herein contained untrue or incorrect.



To be completed by an Individual Applicant/Agent:

Name of Applicant/Agent: _____

NRIC/Passport/FIN: _____ GIAS Agent No.: _____

Dated the _____ day of _____ 20 _____
 (Day) (Month) (Year)

Signature: _____

Name of Nominee Agents (as in NRIC)	NRIC/Passport No	Signature	Date
1.			
2.			
3.			
4.			
5.			
6.			
7.			

Please submit a separate list if there are more than 7 nominee agents.



To be completed by a Corporate Applicant/ Principal Agent of a Trade Specific Agent / Agent:

Name of Company: _____

Business Registration No.: _____ GIAS Agent No.: _____

Dated the ____ day of _____ 20 ____
(Day) (Month) (Year)

Signature: _____ Company Stamp _____

Name of Nominee Agents (as in NRIC)	NRIC/Passport No	Signature	Date
1.			
2.			
3.			
4.			
5.			
6.			
7.			

Please submit a separate list if there are more than 7 nominee agents.